



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR  
PATENT

In re the application of:

Kistler et al.

Application No: 09/747,844

Filed: December 21, 2000

For: PIEZOELECTRIC PLATEN DESIGN FOR  
IMPROVING PERFORMANCE IN CMP  
APPLICATIONS

Group Art Unit: 2834

Examiner: Unassigned

Atty. Docket No: LAM2P220C

Date: May 3, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on May 3, 2001.

Signed:

*Neely J. Weldy*  
Neely J. Weldy

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents  
**Attention: Box Missing Parts**  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted mailed March 9, 2001, Applicants hereby attach an original executed combined Declaration and Power of Attorney, Separate Letter to the Official Draftsperson, Formal Drawings (FIGs. 1, 2, 3, 4, 5, 6A, 6B, 7, 8, and 9), and the copy of the Notice to be returned with this response.

Enclosed is our Check No. 5206 for \$130.00 in payment of the declaration surcharge, for a large entity. As applicants inadvertently indicated that they qualify for small entity status at the time the application was filed, Applicants are enclosing check number 5216 for \$587.00 in payment of the additional filing and extra claim fees due for a large entity (Applicants originally paid the amount due for a large entity, but the PTO issued a refund in light of the Applicants' inadvertent statement regarding small entity status. Thus, Applicants have now paid filing and extra claims fees in the amount of \$ 1,174.00.

Applicants believe no additional fees are due in connection with this application, however, the Commissioner is authorized to charge any other fees that may be due to our Deposit Account No. 50-0805 (Order No. LAM2P220B).

Adjustment dates: 05/10/2001 SHINASS1  
03/05/2001 SHINASS1 00000012 09747844  
01 FC:201 -353.00 OP

05/10/2001 SHINASS1 00000061 09747844  
01 FC:101 710.00 OP  
02 FC:102 160.00 OP  
03 FC:103 72.00 OP

Respectfully submitted,  
MARTINE PENILLA & KIM, LLP

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Joe A. Brock, II, Esq.  
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Customer Number 25920

Attorney Docket No. LAM2P220C



PATENT

## In the United States Patent and Trademark Office

Applicant:	Kistler et al.	)	
Applicant's Ref:	LAM2P220C	)	Examiner: Unassigned
Application No:	09/747,844	)	Group Art Unit: 2834
Filed:	December 21, 2000	)	Date: May 3, 2001
Title:	PIEZOELECTRIC PLATEN DESIGN FOR IMPROVING PERFORMANCE IN CMP APPLICATIONS	)	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on May 3, 2001.

Signed: \_\_\_\_\_

Neely J. Wedy

## Separate Letter to the Official Draftsperson

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

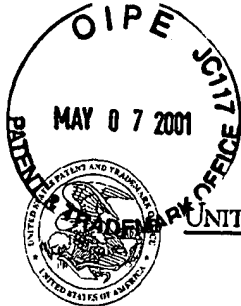
In response to the Notice to File Missing Parts of NonProvisional Application—Filing Date Granted mailed March 9, 2001, indicating that substitute formal drawings are now required, enclosed herewith are the formal drawings (Figures 1, 2, 3, 4, 5, 6A, 6B, 7, 8, and 9), for the above-referenced case. If the draftsperson has any questions concerning these drawings, please contact the undersigned at the number set forth below. If any fees are due in connection with the filing of these drawings, please charge such fees to deposit account 50-0805 (Order No LAM2P220C).

Respectfully submitted,  
MARTINE PENILLA & KIM, LLP

Joe A. Brock, II, Esq.  
Reg. 46,021

710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
(408) 749-6900  
Customer Number 25920

Attorney Docket No: LAM2P220C



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,844	12/21/2000	Rod Kistler	LAM2P220C

25920  
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710 LAKEWAY DRIVE, SUITE 170  
SUNNYVALE, CA 94085

CONFIRMATION NO. 4383

## FORMALITIES LETTER



\*OC000000005846491\*

Date Mailed: 03/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Rod Kistler*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(a)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

Repln. Ref: 05/23/2001 TV0111 0010254100  
DAW:500805 Name/Number:09747844  
FC: 704 \$40.00 CR

*A copy of this notice MUST be returned with the reply.*

05/10/2001 SHINASS1 00000058 09747844

01 FC:105

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01 FC:102  
02 FC:103

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02 FC:102  
03 FC:103

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710.00 DP  
160.00 DP  
72.00 DP

Mozart Kano

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE